# SKR004 (V11 4D) Regulatory Assurance Certification



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Issue Date: 6 Nov 2017 Revision 5.0

#### **Safety Certification**

#### **Test Objective:**

Verifies that product meets the required Safety requirements as stipulated by UL, and TUV Safety Agencies; and also European Directives:

- UL 60950-1, 2<sup>nd</sup> Edition, 2014-10-14
- TUV EN60950-1, IEC 60950-1

#### **Test Description:**

The product was submitted to and tested by the safety agencies.

The product is certified for cUL mark through UL Korea under Underwriter Laboratories (UL) file E190397. Attached is the Certificate of Compliance to apply the cUL mark.

The product is certified for TUV SUD mark through TUV SUD Korea Corporation. Attached is the TUV SUD Certificate.

#### Pass/Fail Criteria:

Product meets the stipulated Safety requirements.

#### **Product:**

Product Name: Hard Disk Drive

#### **Conclusion:**

The unit passed the above safety tests and standards. Due to similarities in the hard disk drives, it was only necessary to test one model in order to pass for all models.

Agency / Standards	Conclusion	Approved to Bear Agency's Marks	
UL60950-1	Compliance with applicable cUL	c <b>FLL</b> °us	
TUV EN60950-1, IEC 60950-1	Compliance with IEC60950, Compliance with EN60950	TUV	

#### CERTIFICATE OF COMPLIANCE

20170428-E190397 Certificate Number E190397-A35-UL Report Reference Issue Date 2017-APRIL-28

> SEAGATE SINGAPORE INTERNATIONAL Issued to:

> > HEADQUARTERS PTE LTD

THE SHUGART

26 AYER RAJAH CRESCENT SINGAPORE 139944 SINGAPORE

This is to certify that COMPONENT - INFORMATION TECHNOLOGY representative samples of EQUIPMENT INCLUDING ELECTRICAL BUSINESS

EQUIPMENT

Hard Disk Drive: SKR004

Have been investigated by UL in accordance with the

Standard(s) indicated on this Certificate.

See addendum for standards. Standard(s) for Safety:

Additional Information: See the UL Online Certifications Directory at

www.ul.com/database for additional information

Only those products bearing the UL Certification Mark should be considered as being covered by UL's Certification and Follow-Up Service.

The UL Recognized Component Mark generally consists of the manufacturer's identification and catalog number, model number or other product designation as specified under "Marking" for the particular Recognition as published in the appropriate UL Directory. As a supplementary means of identifying products that have been produced under UL's Component Recognition Program, UL's Recognized Component Mark: Way, may be used in conjunction with the required Recognized Marks. The Recognized Component Mark is required when specified in the UL Directory preceding the recognitions or under "Markings" for the individual recognitions.

Recognized components are incomplete in certain constructional features or restricted in performance capabilities and are intended for use as components of complete equipment submitted for investigation rather than for direct separate installation in the field. The final acceptance of the component is dependent upon its installation and use in complete equipment submitted to UL LLC.

Look for the UL Certification Mark on the product.

ULLLC



# CERTIFICATE OF COMPLIANCE

Certificate Number 20170428-E190397

Report Reference E190397-A35-UL 2017-APRIL-28

This is to certify that representative samples of the product as specified on this certificate were tested according to the current UL requirements.

Standards for Safety:

UL 60950-1, Information Technology Equipment - Safety - Part 1: General Requirements CAN/CSA C22.2 No. 60950-1-07, Information Technology Equipment - Safety - Part 1: General Requirements

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Bruce Mahrenholz, Director North American Certification Program

ULLLC

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# CERTIFICATE

No. B 17 02 87435 051

Holder of Certificate: Seagate Technology International

18, 59 beon-gil, Poeun-daero Suji-gu Yongin-city, Gyeonggi-do 448-130 REPUBLIC OF KOREA

Production 64076, 61810 Facility(ies):

Certification Mark:

TUV STD bzv-out.com/pa-cert

Product: Hard disk drive

Model(s): SKR004

Trademark: SEAGATE

Parameters: Rated input: 5 V d.c., 0.55 A and 12 V d.c., 0.37 A

Protection Class: III

Tested according to: EN 60950-1:2006/A2:2013

The product was tested on a voluntary basis and complies with the essential requirements. The certification mark shown above can be affixed on the product. It is not permitted to alter the certification mark in any way. In addition the certification holder must not transfer the certificate to third parties. See also notes overleaf.

Test report no.: 077-2379216-001

Valid until: 2019-06-19

( Havard Lee )

Date, 2017-02-20

Page 1 of 1



TUV®



Ref. Certif. No.

SG PSB-OF-00872A1

IEC SYSTEM FOR MUTUAL RECOGNITION OF TEST CERTIFICATES FOR ELECTRICAL EQUIPMENT (IECEE) CB SCHEME

SYSTEME CEI D'ACCEPTATION MUTUELLE DE CERTIFICATS D'ESSAIS DES EQUIPEMENTS ELECTRIQUES (IECEE)METHODE OC

# CB TEST CERTIFICATE CERTIFICAT D'ESSAI OC

Product Produit

Name and address of the applicant Nom et adresse du demandeur

Name and address of the manufacturer Nom et adresse du fabricant

Name and address of the factory Nom et adresse de l'usine

Ratings and principal characteristics Valeurs nominales et caractéristiques principales

Trade mark (if any) Marque de fabrique (si elle existe)

Model/type Ref. Ref. de type

considéré conforme à la

A sample of the product was tested and found to be in conformity with

Un échantillon de ce produit a été essayé et a été

Additional information (if necessary)

Information complémentaire (si nécessaire)

as shown in the Test Report Ref. No. which forms part of this certificate comme indiqué dans le Rapport d'essais numéro de référence qui constitue une partie de ce Hard disk drive

Seagate Technology International 18, 59 beon-gil, Poeun-daero Suji-gu

Yongin-city, Gyeonggi-do 448-130, REPUBLIC OF KOREA

Seagate Technology International 18, 59 beon-gil, Poeun-daero Suji-gu, Yongin-city, Gyeonggi-do 448-130, REPUBLIC OF KOREA

Seagate Technology (Wuxi) International Co., Ltd.

108 Xing Chuang Er Lu., Wuxi-Singapore Industrial Park., 214028 Wuxi, JiangSu, PEOPLE'S REPUBLIC OF CHINA
For further information please see attachment

Rated input: 5 V d.c., 0.55 A and 12 V d.c., 0.37 A
Protection class: III

SEAGATE

SKR004

Certificate SG PSB-OF-00872 issued 2016-10-31 is replaced by this version due to non-technical changes. See Test Report for National and Group Differences.

IEC 60950-1:2005 IEC 60950-1:2005/AMD1:2009 IEC 60950-1:2005/AMD2:2013

TÜV SÜD PSB Pte Ltd 077-2379216-001

This CB Test Certificate is issued by the National Certification Body Ce Certificat d'essai OC est établi par l'Organisme National de Certification

Date,

2017-02-20 CBS 17 02 87435 050 (Havard Lee)

TÜV SÜD PSB Pte Ltd · 1 Science Park Drive · Singapore 118221



PSB Singapore





# CB Certificate Ref. No. SG PSB-OF-00872A1

# Additional factory information Name and address of the factory

Nom et adresse de l'usine

Seagate Technology (Thailand) Ltd. 90 Moo 15 Tambol Sungnoen Amphur Sungnoen Nakhon Ratchasima 30170 THAILAND

**Trade Mark** 



Date: 2017-02-20

Signature: ( Havard Lee ) PSB Singapore

#### **ElectroMagnetic Compatibility**

#### **Test Objective:**

Verify that the product, which is an internal computer peripheral with no direct connection to external I/O or power, meets applicable EMC emission and immunity standards when installed in a representative host system. This is done by verifying that the product, in the system, complies with the appropriate limits for FCC, CE Mark, C-tick(RCM), and VCCI. For FCC, applicable limits are found in CFR 47, Part 15, Subpart B, Class B, and for CE, C-tick(RCM), and VCCI are found in EN 55032 Class B. Immunity requirements for CE Marking are defined in EN 55024

#### **Test Description:**

Testing is performed with the drive installed in representative host system. The host system in this case, is a Notebook laptop or Desktop and the drive is exercised random write/read mode for all testing. The drive is monitored for correct operation throughout the testing.

Emission testing (performed at a 10m Chamber) and immunity testing are performed at an EMC facility, which has been certified by SAC-SINGLAS.

Emission testing consists of the following:

- 1. Conducted emissions, 150 kHz to 30 MHz, 110 Vac/60 Hz (FCC)
- 2. Conducted emissions, 150 kHz to 30 MHz, 230 Vac/50 Hz {CE, C-tick(RCM), VCCI}
- 3. Radiated emissions, 30 MHz to 1 GHz, 10-meter antenna distance
- 4. Radiated emissions, 1 GHz to 6 GHz, 3-meter antenna distance
- 5. AC power line harmonics, per EN61000-3-2: 2014
- 6. AC power line flicker, per EN61000-3-3: 2013

Immunity testing includes the following:

- 1. Electrostatic discharge per IEC 61000-4-2 (Level B)
- 2. Radiated RF field immunity per IEC 61000-4-3 and EN 50204 (Level A)
- 3. Electrical Fast Transient/Burst per IEC 61000-4-4 (Level B)
- 4. Surge Immunity per IEC 61000-4-5 (Level B)
- 5. Conducted RF Immunity per IEC 61000-4-6 (Level A)
- 6. Power Frequency H-field Immunity per IEC 61000-4-8 (Level A)
- 7. Voltage Dips and Interrupts per IEC 61000-4-11 (Level B, C)

#### Pass/Fail Criteria:

For immunity testing, the drive must meet different levels of performance (A, B or C) for the various RF environments to which it is exposed. These are defined as follows:

Performance Level A - no degradation in performance, no loss of data

Performance Level B - degraded performance acceptable provided that 1) no data is lost and 2) unit self-recovers without user intervention after the RF environment has been withdrawn

Performance Level C - reset acceptable, user intervention acceptable provided that unit suffers no permanent damage.

#### Product:

Product Name: Hard Disk Drive

#### **Conclusion:**

No modifications were made to pass. No Specification deviations, additions to or exclusions from were performed. Due to similarities in the drives, the test conclusions are applicable to hard disk drive.

Standard	Result	Approved to Bear CE & RCM Marks
EN 55032:2010 / AC : 2011 - Class B	Pass	
EN 61000-3-2: 2014	Pass	
EN 61000-3-3: 2013	Pass	
EN 55024: 2010	Pass	
IEC 61000-4-2: 2008	Pass	
IEC 61000-4-3: 2010	Pass	
IEC 61000-4-4: 1995	Pass	
IEC 61000-4-5: 1995	Pass	
IEC 61000-4-6: 1996	Pass	
IEC 61000-4-8: 1993	Pass	
IEC 61000-4-11: 1994	Pass	

#### **Test Data:**

**EMC Immunity Test Results** 

Line initiality rest results			
Specification	Test Method	Test Conditions	Compliance
Electrostatic Discharge	IEC 61000-4-2	4 kV Contact / HCP, VCP / 8 kV Air	Compliant
RF Radiated Immunity	IEC-61000-4-3 EN 50204	80 - 1000 MHz, 3 V/m, 80% 1 kHz AM	Compliant
EFT/Burst	IEC 61000-4-4	0.5 kV I/O, 1 kV AC mains	Compliant
Surge Immunity	IEC 61000-4-5	2 kV comm. mode, 1 kV diff. mode, AC mains	Compliant
Conducted RF Immunity	IEC 61000-4-6	150 kHz to 80 MHz, 3 Vrms, 80% 1 kHz AM, power and I/O	Compliant
Power Frequency Magnetic Field Immunity	IEC 61000-4-8	1 Amp / meter, 3 axes, 50 Hz and 60Hz	Compliant
Voltage Dips and Interrupts	IEC 61000-4-11	0% open and short for 5 seconds, 40% for 0.1 second, 70% for 0.01 second	Compliant



#### **EU DECLARATION OF CONFORMITY**

TYPE OF EUQIPMENT: Hard Disk Drive REGULATORY MODEL: SKR004 TRADE/BRAND NAME: SEAGATE

#### I . Product SAFETY and EMC Compliance

A. The Electromagnetic Compatibility (EMC) Directive 2014/30/EU by application of the following standards:

EN 55032:2015 Information technology equipment - Radio disturbance characteristics - Limits and methods of measurement Class B.

EN 55024:2010 Information technology equipment – Immunity characteristics – Limits and

methods of measurement.

EN 61000-3-2:20 14 Electromagnetic compatibility (EMC) - Part 3-2:

Limits for harmonic current emissions (equipment input

current <= 16 A per phase)

EN 61000-3-3:2013 Electromagnetic compatibility (EMC) - Part 3-3: Limitation of voltage

changes, voltage fluctuations and flicker in public low-voltage supply systems,

for equipment with rated current <= 16 A per phase.

B. The product meets the requirements of The Low Voltage Directive (LVD) 2014/35/EU by application of the following standard:

EN 60950-1:2006 Information technology equipment - Safety - General requirements.

(Second Edition) Part 1: +A11:2009 +A1:2010 +A12:2011 + A2:2013

#### II. Product Environmental Compliance

A. The product(s) meets the requirements of the RoHS "Recast" Directive 2011/65/EU (RoHS 2) as amended by Directive(EU) 2015/863 and by application of the following standards:

EN 50581:2012 Technical documentation for the assessment of electrical and electronic products

with respect to the restriction of hazardous substances

IEC 62321:2015 Determination of certain substances in electro technical products.

Polybrominated biphenyls and polybrominated diphenyl ethers in polymers by

gas chromatography-mass spectrometry (GC-MS).



#### EU DECLARATION OF CONFORMITY

B. Seagate disc drives rely on the following RoHS 2 exemptions for compliance:

Exemption Description
(Exemptions are listed per Annex released September 24, 2010)
6a. Lead as an alloying element in steel containing up to 0.35% lead by weight
6b. Lead as an alloying element in aluminum up to 0.4% lead by weight
6c. Lead as an alloying element in copper containing up to 4% lead by weight
7a. Lead in high melting temperature type solders (i.e. lead-based solder alloys
containing 85 % by weight or more lead)
7(c)-I Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors (e.g. piezoelectronic devices) or in a glass
or ceramic matrix compound

#### III. Due Diligence

- A. For parts and materials in Seagate products procured from external suppliers, we rely on the representations of our suppliers regarding the presence of RoHS 2 substances in these parts and materials. Our supplier contracts require compliance with our chemical substance restrictions, and our suppliers document their compliance with our requirements by providing material content declarations for all parts and materials for Seagate products. Current supplier declarations include disclosure of any substances regulated by RoHS 2 in such parts or materials.
- B. Seagate also has internal systems in place to ensure ongoing compliance and all laws and regulations. These systems include standard operating procedures that ensure that product safety, EMC and environmental compliance requirements are followed and an internal auditing process to ensure compliance with all standard operating procedures.

This product or products are in conformity with the relevant Union harmonization legislation. This declaration of conformity is issued under the sole responsibility of Seagate Technology International.

Date of Issue: Nov 02, 2016

Signed for and on behalf of:

Seagate Technology International Koolhovenlaan 1 1119 NB Schiphol-Rijk The Netherlands Signature: Name: Sang Lee Title: Vice President



#### Supplier's Declaration of Conformity

Declaration of Conformity as a registered and responsible supplier under the Australian Communications and Media Authority (ACMA) regulatory arrangements for Regulatory Compliance Mark (RCM) and it's placement.

Responsible Supplier Name: Seagate Technology Australia Pty Ltd

Responsible Supplier Number: E806

Seagate Technology Australia Pty. Limited Level 7, 91 Phillip St PARRAMATTA NSW 2150 AUSTRALIA

Declare under our sole responsibility that the following product(s):

Hard Disk Data	Storage Drive	
Model: SKR004	1	
to which this decl	aration relates is in cor	nformity with the following standard(s):
Title		Test Regulation
Australian/New	Zealand Standard	AS/NZS CISPR 32: 2015
(Name of the Aut	horized Person)	Sam Zavaglia
(Title of the Author	orized Person)Se	enior Field Applications Engineer
(Date of Issue)	19 <sup>th</sup> October 2016	
(Signature)	A.	

# 符合性聲明書 Declaration of Conformity Code of the D33

報網務人代碼	編	號
Code of the applicant	Nun	nber
D33027	STX17	110305

本符合性聲明書應依商品檢驗法規定備齊相關技術文件後始得簽具 Please check all the related technical documents in accordance with the Commodity Inspection Act before signing the form.

却粉美效 1 . 心臟 3 体的	++ 50 //     //	=1		
報驗義務人: 台灣希捷科	<u> 投版切角限公</u>	<u> </u>		
Obligatory Applicant 地址:臺北市松山區復興	北路 363 號 14	樓 B 室		
Address	200 100 100	18. D		
電話: 02-2514-2273				
Telephone 商品中(英)文名稱:T Commodity Name	更式磁碟機			
商品型式(或型號):	SKR004:ST800	0DM004;ST6000	DM003;ST5000	DM003;ST8000VN00
同田至八(以至566). Commodity Type (Model)	2;ST6000VN00	1;ST8000VM004	ST6000VM000	ST8000VX002;ST60
, ,,,	00VX001;ST80	00VN003;ST6000	VN002;ST8000	VX003;ST6000VX00
	2;ST8000AS000	)3		
符合之檢驗標準及版次: Standard(s) and version	CNS 13438/ Co	omplete 2006 C	Class B	
試驗報告編號: <u>T1610030</u>	C07A			
Test Report Number	· 在 六 待 国 购 在	口针脸去四八	=	
試驗室名稱及代號: <u>香港</u> Testing laboratory name and designation r		<u> </u>	□	
	L2-IN-E-0049			
符合性聲明檢驗標識及證 The form of the DoC marking appears like		D33027 RoHS	or	D33027
		Rolls		RoHS
				KOHS
茲聲明上述商品符合商品 容,願意擔負相關法律責	新治果本式应果。	聲明之規定・	若因違反本聲	胡書所聲明之內
I hereby declare that the listed commodity	1 C C A A	A 20 := 2 1 1 1 1 1 1 1	1411 6	e Commodity Inspection Act.
I agree to take any legal obligations shoul	d violations against the I	Declaration of Conform	ty occur.	
報驗義務人: 台灣希捷科	技股份有限公	<b>∃/Géraldine</b>	Hottier-Fa	ayon(簽章)
The Board	d Chairman of	Seagate Tech	nnology Taiv	van
Obligatory Applicant				( Signature )
中華民國	106 年	11 月	3	日
DATE	( ye	ar)	( month )	( day )
313150000G-E5Z-332		1		0971120V1

# 방송통신기자재등의 적합등록 필증

Registration of Broadcasting and Communication Equipments

상호 또는 성명 Trade Name or Registrant	SEAGATE TECHNOLOGY LLC
기자재 명칭 Equipment Name	HARD DISK DRIVE
기본모델명 Basic Model Number	SKR004
파생모델명 Series Model Number	ST8000DM004, ST8000AS0003, ST5000DM003, ST6000VX002, ST6000VN002, ST6000VN002, ST6000VN001, ST6000VM000, ST6000VN001, ST6000DM003, ST8000VX003, ST8000VN003, ST8000VX002, ST8000VM004, ST8000VN002
등록번호 Registration No.	MSIP-REM-STX-SKR004
제조자/제조(조립)국가 Manufacturer/Country of Origin	SEAGATE TECHNOLOGY LLC / 중국, 태국
등록연월일 Date of Registration	2016-10-14
기타 Others	

위 기자재는 「전파법」제58조의2 제3항에 따라 등록되었음을 증명합니다. It is verified that foregoing equipment has been registered under the Clause 3, Article 58-2 of Radio Waves Act.

2017년(Year) 03월(Month) 08일(Date)

국립전파연구원장



Director General of National Radio Research Agency

※ 적합등록 방송통신기자재는 반드시 "적합성평가표시"를 부착하여 유통하여야 합니다. 위반시 과태료 처분 및 등록이 취소될 수 있습니다.



#### **Declaration of Conformity**

Standards to which conformity is declared:

FCC Part 15B 47 CFR FCC Part 15B: 2012 (Class B)

ICES-003 Issue 5, Class B Information Technology Equipment (ITE) - Limits

and methods of measurement

Manufacturer Name: Seagate Technology, Incorporated
Manufacturer Address: 10200 South DeAnza Boulevard
(And Importer) Cupertino California 95014

Type of Equipment : Hard Disk Drive

Product Model Number: SKR004

Seagate Technology, Incorporated hereby declares that the equipment specified above conforms with the protection requirements of the above named Directive(s) and Standards.

Location: Gwanggyo, South Korea

Certificate Date: 27 Oct, 2016

Amendment Date:

Young Hwan Han
(Full Printed Name)
Sr Engineering Manager